



Docket No. 5095-4075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Norihito Takeuchi et al.

Serial No.: 10/728,232

Group Art Unit: 2671

Filed: December 3, 2003

Examiner: TBA

For: OPTICAL ELEMENT, PLANAR LIGHTING UNIT AND LIQUID CRYSTAL
DISPLAY UNIT

PRELIMINARY AMENDMENT

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.115(a), please amend the above-identified application,
as follows, prior to consideration on the merits.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the claims begin on page 4 of this paper.

Remarks begin on page 9 of this paper.



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CERTIFICATE OF MAILING (37 C.F.R. §1.8(A))

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

1. Preliminary Amendment
2. Return Receipt Postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing
are being deposited with the United States Postal Service on date shown below with sufficient
postage as first-class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box
1450, Alexandria, VA 22313-1450.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: April 13, 2004

By: Steven F. Meyer
Steven F. Meyer
Registration No. 35,613

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile